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Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
USPTO Use Only

EFS ID: 58626  
Application ID: 09876270  
Title of Invention: METHODS AND SYSTEMS FOR  
MONITORING SECURITIES  
QUOTES  
First Named Inventor: John Bunda  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2001-06-06  
Effective Receipt Date: 2004-04-07  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 8812  
Attorney Docket Number: 5226-01300



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Total Fees Authorized:

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Certificate Message Digest: 84a0ddf803087b968bb783fab8226e0bacf79915



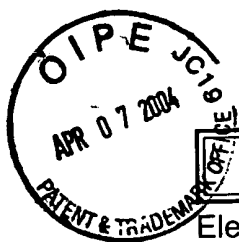
TRANSMITTAL

Electronic Version v1.1.  
Stylesheet Version v1.1.0

Title of Invention	METHODS AND SYSTEMS FOR MONITORING SECURITIES QUOTES									
Application Number: 09/876270 										
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<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Eric B Meyertons Registered Number: 34876</td><td>Eric B Meyertons</td><td>Attorney</td></tr></tbody></table>			Submitted by:	Elec. Sign.	Sign. Capacity	Eric B Meyertons Registered Number: 34876	Eric B Meyertons	Attorney		
Submitted by:	Elec. Sign.	Sign. Capacity								
Eric B Meyertons Registered Number: 34876	Eric B Meyertons	Attorney								
<table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-ids</td><td>5226-Feb2004-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xsl</td></tr></table>			Documents being submitted	Files	us-ids	5226-Feb2004-usidst.xml		us-ids.dtd		us-ids.xsl
Documents being submitted	Files									
us-ids	5226-Feb2004-usidst.xml									
	us-ids.dtd									
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Comments										

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# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of  
Invention

METHODS AND SYSTEMS FOR MONITORING  
SECURITIES QUOTES

Application Number: 09/876270

Confirmation Number: 8812

First Named Applicant: John Bunda

Attorney Docket Number: 5226-01300

Art Unit: 2164

Examiner: Unknown Unknown

Search string: ( 5809483 or 5946666 or 6401105 or 6408282  
or 6510419 or 20020178099 or 20020194111 or  
20020194110 or 20030065598 or 20030083974  
or 20030041006 ).pn.



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## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5809483	1998-09-15	Broka et al.			
	2	5946666	1999-08-31	Nevo et al.			
	3	6401105	2002-06-04	Carlin et al.			
	4	6408282	2002-06-18	Buist			
	5	6510419	2003-01-21	Gatto			

## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020178099	2002-11-28	Young	A1		
	2	20020194111	2002-12-19	Young	A1		
	3	20020194110	2002-12-19	Young	A1		
	4	20030065598	2003-04-03	Bunda	A1		
	5	20030083974	2003-05-01	Bunda	A1		

	6	20030041006	2003-02-27	Bunda	A1
Signature					
Examiner Name			Date		